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Receipt #12

PATENT

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BY: Lorinda Drain DATE: October 10, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re	: Patent Application of	: Office of Initial Patent
	: <b>Sean A. McCarthy et al.</b>	: Examination
	:	:
Conf. No.:	: 9759	:
	:	:
Appln. No.	: <b>09/766,511</b>	:
	:	:
Filed	: January 19, 2001	: Attorney Docket No.
	:	: <b>10147-65</b>
For	: NOVEL GENES ENCODING PROTEINS	:
	: HAVING PROGNOSTIC, DIAGNOSTIC,	:
	: PREVENTIVE, THERAPEUTIC, AND OTHER	:
	: USES	:

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is the original Filing Receipt for the above-identified patent application.

**In the "Atty. Docket No.":**

Please change "210146.0065/65US" to --10147-65--.

**In the "Applicant(s)":**

Please make the following changes:

**in the first-named applicant**, after "McCarthy", please change "Boston, MA" to --San Diego, CA--;

**in the fourth-named applicant**, before "Barnes", please change the middle initial "S." to --M.--, and change "Boston, MA" to --Brookline, MA--.

**in the eighth-named applicant**, after "Andrew, and before "Goodearl", please add the middle initials --D.J.--; and

**in the last-named applicant**, after "Mehran", please delete the middle initial "M."



Please make these corrections and provide us with a Corrected Filing Receipt.

Respectfully submitted,

**SEAN A. McCARTHY ET AL.**

10 October 2001

(Date)

By: 

**GARY D. COLBY, Ph.D., J.D.**

Registration No. 40,961

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

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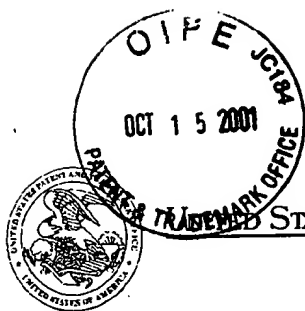
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/766,511	01/19/2001	1645	1124	240146.0065/65US	67	43	2

10147-65

CONFIRMATION NO. 9759

000570

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.  
ONE COMMERCE SQUARE  
2005 MARKET STREET, SUITE 2200  
PHILADELPHIA, PA 19103

FILING RECEIPT



\*OC000000005914295\*

APR - 2 2001

Date Mailed: 03/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sean A. McCarthy, ~~Boston, MA~~; *San Diego, CA*  
Christopher C. Fraser, Lexington, MA;  
John D. Sharp, Arlington, MA;  
*M.* ~~Thomas S. Barnes, Boston, MA~~; *Brookline*  
Susan J. Kirst, Brookline, MA;  
Paul S. Myers, Jamaica Plain, MA;  
Nicholas Wrighton, Winchester, MA;  
*D. J.* ~~Andrew Goodearl, Natick, MA~~;  
Douglas A. Holtzman, Jamaica Plain, MA;  
Mehran ~~M.~~ Khodadoust, Brookline, MA;

COPY

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/578,063 05/24/2000  
WHICH IS A CIP OF 09/333,159 06/14/1999  
THIS APPLICATION 09/766,511  
IS A CIP OF 09/596,194 06/16/2000  
WHICH IS A CIP OF 09/342,364 06/29/1999  
THIS APPLICATION 09/766,511  
IS A CIP OF 09/608,452 06/30/2000  
WHICH IS A CIP OF 09/393,996 09/10/1999  
THIS APPLICATION 09/766,511  
IS A CIP OF 09/345,680 06/30/1999

APR - 3 2001

## Foreign Applications



## UNITED STATES PATENT AND TRADEMARK OFFICE

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2/0147

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IS A CIP OF 09/345,680 06/30/1999

APR - 3 2001

## Foreign Applications

**If Required, Foreign Filing License Granted** 03/28/2001

**Projected Publication Date:** To Be Determined - pending completion of Missing Parts

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Novel genes encoding proteins having prognostic, diagnostic, preventive, therapeutic, and other uses

**Preliminary Class**

435

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**Data entry by :** NGUYEN, NGAN

**Team :** OIPE

**Date:** 03/29/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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